

Annex A

Example of a subject-based filing scheme

'Zero' file

Calendar

- Academic holidays
- Dates of term
- Prospectus

Degrees

- Certificates
- Falsification
- First degrees
- Higher degrees
- Honorary degrees
- Postgraduate degrees
- Testimonials
- Verification

Examinations

- Appeals
- Dates
- Enquiries
- External examinations
- External examiners
- Invigilation
- Irregularities
- Procedures
- Regulations

Fees

- Arrears of fees
- External examiners' fees
- Home fees
- Overseas fees
- Remission of fees

Graduation

- Arrangements
- Enquiries about graduates
- Enquiries from graduates

Staff Matters

- Appointment of Chief Executive
- Appointment of Senior Executive
- Appointment of Accountant
- Income Tax

Staff pensions
Staff expenses

Statistics

Departmental statistics
Enquiries about statistics

Trade unions

Association of Scientific, Technical and Managerial Staffs
Association of University Teachers

Example of an organisation-based filing scheme

'Zero' file

Arts, culture & environment

- Archaeology
 - Admissions
 - Applications
 - Curriculum
 - Offers
- Architecture
 - Admissions
 - Applications
 - Curriculum
 - Offers
- Fine art
- Music

Economic & management studies

- Economics
- Business studies
- Accounting & business method

History & classics

- Classics
- Economic & social history
- History
- Scottish history

Literature, languages & cultures

- English literature
- Islamic & Middle Eastern studies
- Celtic & Scottish studies
- European languages & cultures

Philosophy, psychology & language sciences

- English language
- Philosophy
- Psychology
- Theoretical & applied linguistics

Social & political studies

- Politics
- Social anthropology
- Social policy
- Social work
- Sociology

Example of a functional filing scheme

'Zero' file

Planning

- Process design
- Negotiate resources
- Set strategic priorities
- Develop plan
- Monitor achievement

Teaching

- Teaching strategy development

- Teaching planning

- Teaching quality and standards management

- Internal QA processes
- Formal internal reviews
- External reviews

- Taught curriculum development

- External monitoring
- Developing new curricula
- Revising existing curricula

- Taught curriculum review

- Collecting feedback
- Responding to feedback

- Taught programme development

- External monitoring
- Developing new programmes
- Revising existing programmes

- Taught course preparation & delivery

- Producing course materials
- Liaison with students

Annex D**Example of an alphabetical referencing system**

AAA		'Zero' file
ACE		Arts, culture & environment
	ARL	Archaeology
	AA	Admissions
	AB	Applications
	AC	Curriculum
	AD	Offers
	ARC	Architecture
	AA	Admissions
	AB	Applications
	AC	Curriculum
	AD	Offers
	FIN	Fine art
	MUS	Music
EMS		Economic & management studies
	EC	Economics
	AA	Admissions
	AB	Applications
	AC	Curriculum
	AD	Offers
	BS	Business studies
	AA	Admissions
	AB	Applications
	AC	Curriculum
	AD	Offers
	ABS	Accounting & business method

Annex E**Example of a numerical referencing system**

0	0	0	'Zero' file
1			Arts, culture & environment
	1		Archaeology
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	2		Architecture
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	3		Fine art
	4		Music
2			Economic & management studies
	1		Economics
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	2		Business studies
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	3		Accounting & business method

Annex F**Example of an alpha-numeric referencing system**

AAA	0	0	'Zero' file
ACE			Arts, culture & environment
	1		Archaeology
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	2		Architecture
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	3		Fine art
	4		Music
EMS			Economic & management studies
	1		Economics
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	2		Business studies
		1	Admissions
		2	Applications
		3	Curriculum
		4	Offers
	3		Accounting & business method

Annex H**Example of a keyword referencing system**

No	Key word	See also	Use for
1	Accounts	Financial reports; vouchers; audit	
2	Accreditation	Allocations	
3	Advertising	Publicity	
4	Allocations	Accreditation	
5	Appeals		
6	Associations	Teachers' Association	
7	Audit	Financial reports	
8	Biology	Sciences	
9	Budgets	Estimates; financial reports	
10	Chemistry	Sciences	
11	Circulars	Notices; instructins	
12	Codes of conduct	Procedures	
13	Committees	Working parties	Records relating to more than one committee
14	Conferences		Any records not relating to a named conference
15	Contracts		
16	Development	Research; staff training	
17	Estimates	Budgets; financial reports	
18	Financial planning	Planning	
19	Financial reports	Budgets; estimates; accounts; audit	
20	Grants	Loans	
21	Institutions	Universities	Any records not relating to a named institution
22	Lectures	Speeches	
23	Loans	Grants	

Taken from Public Record Office, *Records Management Standard: File Creation*